

Risk Matrix

INITIAL ASSESSMENT											CONTROLS & MITIGATION				
ID	Status	Date Raised	Raised by	Risk Owner	TYPE	As a result of....	There is a risk that....	With the result that...	Impact	Likelihood	RAG Rating	Mitigation and Controls in place	Impact	Likelihood	RAG Rating
LMS1	Open	13-Mar-21	Hazel Webb	Kerry Purnell	Process	No uptake from market or bidders fail to pass ITQ	A contract is not awarded for LMS	NNC has no LMS Contractor as of 1 April 2022 or the procurement process has to be restarted	4	4	Red	Engagement with providers - including those who expressed an interest in Dec 20 Potential to discuss with current operator to continue providing a service, under Procurement Law, while a new procurement process is restarted.	4	3	Red
LMS2	Open	14-Mar-21	Hazel Webb	Kerry Purnell	Quality\Operational	The LMS procurement is largely taking place during the summer period	Timescales may slip due to annual leave of key project team members	The procurement fails to meet deadlines	4	3	Red	Dedicated commitment from project team members Key project team members are fully aware of the procurement timetable Annual leave tracker in place to highlight potential gaps early in the project in order to find appropriate cover/put necessary arrangements in place	4	1	Yellow
LMS3	Open	14-Mar-21	Hazel Webb	Kerry Purnell	Business Intelligence	Insufficient or uncertain available service data	Bidders may decline to bid or the bid submission period will be exceeded due to clarification questions	The procurement fails to meet deadlines	3	3	Yellow	Service data has been requested upfront	3	2	Yellow
LMS4	Open	14-Mar-21	Hazel Webb	Kerry Purnell	Skills	Lack of experience within the panel team	Evaluation incomplete/scored incorrectly	This may give rise to challenge as part of the procurement process.	4	3	Red	Ensuring support is available for individuals, additional expertise included in the panel	4	1	Yellow